

<p style="text-align: center;">KENTUCKY CORRECTIONS Policies and Procedures</p>	<p>Policy Number</p> <p style="text-align: center;">27-12-02</p> <p>Date Filed</p> <p style="text-align: center;">January 12, 2005</p>	<p>Total Pages</p> <p style="text-align: center;">3</p> <p>Effective Date</p> <p style="text-align: center;">May 26, 2005</p>
<p>Authority/References</p> <p>KRS 196.035, 439.265, 439.348, 439.480(3), 533.030</p> <p>P&P ACA 3-3130, 3-3131, 3-3136, 3-3138, 3-3139</p>	<p>Subject</p> <p style="text-align: center;">RISK SCALE ASSESSMENT</p>	

I. DEFINITIONS

"Risk scale assessment" means an actuarial risk scale assessment procedure or tool which estimates the likelihood that an individual entering community supervision may re-offend. If the estimated probability of a new offense is high, the offender's level of supervision shall be high. If the offender's estimated probability of a new offense is low, the offender's level of supervision shall be low. (3-3131)

"Risk scale assessment override" means a change of the offender's level of supervision as determined by the risk scale assessment if the severity or notoriety of the offender's offense warrants a higher level of supervision, or if the offender is temporarily unavailable for supervision and a lower level of supervision is warranted.

"Risk scale reassessment" means a standardized and validated assessment tool, which is used every six (6) months after the initial risk scale assessment. Reassessment combines the most important items from the initial risk assessment with items which measure the offender's behavior during the previous six (6) months. The results of risk scale reassessment may raise, lower or maintain the offender's level of supervision. (3-3131)

II. POLICY and PROCEDURE

A. Initial Assessment

An initial assessment shall be completed on each offender within thirty (30) days of (3-3130):

1. Sentence and placement on probation under the supervision of the Division of Probation and Parole.
2. Release on parole under the supervision of the Division of Probation and Parole.
3. Acceptance by an officer as a transfer case through the Interstate Compact.

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4. Returning to supervision after a temporary period of unavailability.

B. Reassessment

1. The reassessment of offender risk shall be completed:
 - a. During the sixth (6th) month after the month of the initial assessment and every six (6) months thereafter while under supervision. (3-3130, 3-3138, 3-3139)
 - b. If the officer determines that the offender's situation has changed substantially. (3-3131, 3-3138, 3-3139)
2. It is not necessary to complete a reassessment on an offender who is incarcerated or an absconder.

C. Inactive to Active Supervision

1. If an offender is returned to active supervision from inactive status an assessment of offender risk shall be completed within ten (10) calendar days of the date the offender is returned to supervision. The following criteria shall determine if initial assessment or reassessment shall be used:
 - a. If the offender was on inactive status six (6) months or more, an initial assessment of offender risk shall be completed.
 - b. If the offender was on inactive status less than six (6) months, the reassessment of offender risk shall be completed.

D. Override of Assessment or Reassessment

1. Override of the initial assessment or reassessment requires the approval and signature of the District Supervisor or designee.
2. The District Supervisor or designee shall only approve an override if:
 - a. The severity or notoriety of the offender's offense warrants a higher level of supervision, or
 - b. The offender is temporarily unavailable for supervision; or
 - c. There are special conditions set by the Parole Board or court.

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- E. Risk scale assessments shall be saved in the offenders file in the Probation and Parole case management system and justified in the chronological record. (3-3131)